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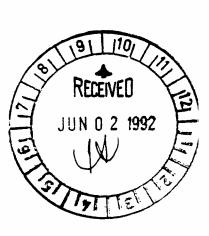
Ire REFER TO LEGISLASIVE SECTION AND The Honorable Joe T. San Agustin Speaker, Twenty-First Guam Legislature 155 Hesler Street Agana, Guam 96910 Dear Mr. Speaker:

Transmitted herewith is Bill No. 269, which I have signed into law this date as Public Law 21-106.

Sincerely yours,

-JOSEPH F. ADA

Governor 210822





Attachment

TWENTY-FIRST GUAM LEGISLATURE 1992 (SECOND) Regular Session

CERTIFICATION OF PASSAGE OF AN ACT TO THE GOVERNOR

This is to certify that Substitute Bill No. 269 (COR), "AN ACT TO REPEAL AND REENACT CHAPTER 33, TITLE 5, GUAM CODE ANNOTATED, ON REGULATING NOTARIES PUBLIC," was on the 15th day of May, 1992, duly and regularly passed.

JOE I. SAN AGUSTIN Speaker

Attested:

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PILAR C. LUJAN

Senator and Legislative Secretary

This Act was received by the Governor this 2074 day of May, 1992, at 3.90 o'clock P.M.

Assistant Staff Officer Governor's Office

APPROVED: JOSEPH F. ADA Governor of Guam MAY 2 9 1992 Date:

Public Law No. ____21-106



TWENTY-FIRST GUAM LEGISLATURE 1991 (FIRST) Regular Session

Bill No. 269 (COR)

As substituted by the Committee on Judiciary and Criminal Justice and as further substituted by the Committee on Rules

Introduced by:

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P. C. Lujan J. P. Aguon E. P. Arriola M. Z. Bordallo H. D. Dierking C. T. C. Gutierrez G. Mailloux D. Parkinson J. T. San Agustin F. R. Santos D. L. G. Shimizu I. G. Bamba A. C. Blaz D. F. Brooks E. R. Dueñas E. M. Espaldon M. D. A. Manibusan M. J. Reidy M. C. Ruth T. V. C. Tanaka A. R. Unpingco

AN ACT TO REPEAL AND REENACT CHAPTER 33, TITLE 5, GUAM CODE ANNOTATED, ON REGULATING NOTARIES PUBLIC. BE IT ENACTED BY THE PEOPLE OF THE TERRITORY OF GUAM: Section 1. Chapter 33 of Title 5, Guam Code Annotated, is repealed

1	and reenacted to read:
2	"Chapter 33
3	NOTARIES PUBLIC
4	
5	Implementation
6	§33101. Short title. This Chapter shall be known and may be cited as
7	the "Model Notary Law".
8	§33102. Purposes. This Chapter shall be construed and applied to
9	advance its underlying purposes, which are:
10	1. To promote, serve, and protect the public interest;
11	2. To clarify and modernize the law governing notaries; and
12	3. To make uniform notarial laws among the jurisdictions
13	enacting it.
14	§33103. Prospective effect. The existing bond, seal, length of
15	commission term, and liability of current notaries commissioned before this
16	Chapter's effective date may not be invalidated, modified, or terminated has
17	this Chapter, but those notaries shall comply with this Chapter in performing
18	notalizations and in applying for new commissions.
19	§33104. Definitions. As used in this Chapter:
20	(1) "Acknowledgment" means a notarial act in which a notary cortified
21	that a signer, whose identity is proven on the basis of satisfactory oridones
22	has admitted, in the notary's presence, having signed a document voluntarily
23	tor its stated purpose.
24	(2) "Commission" means to empower to perform notarial acts and the
25	winten authority to perform those acts.
26	(3) "Copy certification" means a notarial act in which a notary certifies

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having made a photocopy of a document that is neither a public record nor
 publicly recordable.

(4) "Jurat" means a notarial act in which a notary certifies that a signer,
whose identity is proven on the basis of satisfactory evidence, has made, in
the notary's presence, a voluntary signature and taken an oath or affirmation
vouching for the truthfulness of the signed document.

(5) "Notarial act" and "notarization" mean any act that a notary is
empowered to perform under §33301 of this Chapter.

9 (6) "Notarial certificate" and "certificate" mean that part of or 10 attachment to a notarized document for completion by the notary and bearing 11 the notary's signature and seal.

(7) "Notary Public" and "notary" mean any person commissioned to
 perform notarial acts under this Chapter.

(8) "Oath and affirmation" mean a notarial act or part thereof in which a notary certifies that a person made a vow in the presence of the notary on penalty of perjury, with reference made to a Supreme Being for an oath.

(9) "Official misconduct" means: (i) a notary's performance of or
failure to perform any act prohibited or mandated, respectively, by this
Chapter or by any other law in connection with a notarization; or (ii) a
notary's performance of a notarial act in a manner found by the Attorney
General to be negligent or against the public interest.

(10) "Satisfactory evidence of identity" means identification of an individual based on: (i) a current passport or (ii) an official identification issued by a federal or state government with the individual's photograph and signature.

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(11) "State" includes any state of the United States, any United States

territory, possession or commonwealth, and the District of Columbia. 1 2 §33105. Effective date. This Chapter shall take effect ninety (90) days following its enactment into law. Any notary public who is commissioned 3 4 prior to the effective date of this Chapter shall continue to exercise the duties and responsibilities until his or her commission expires. Subsequent 5 application for another commission shall be made in compliance with the 6 provisions of this Chapter. 7 8 Article 2 9 Commissioning 10 Part 1 11 §33201. Commissioning. (a) Except as provided in subsection (c), the 12 Attorney General shall commission as a notary any qualified person who submits an application in accordance with this Chapter. 13 14 (b) A person qualified for a notarial commission must: 15 (1) Be at least eighteen (18) years of age; 16 (2) Reside on Guam; 17 (3) Read and write English; 18 (4) Be a citizen of the United States; 19 (5) Pass a written examination as required under §33212 of this 20 Chapter; 21 (6) Submit an application containing no significant 22 misstatement or omission of fact. 23 (c) The Attorney General may deny an application based on: 24 (1) The applicant's conviction of a crime involving dishonesty 25 or moral turpitude; 26 (2) Revocation, suspension, or restriction of a notarial

commission or professional license issued to the applicant by this 1 territory or any other state; or 2

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(3) The applicant's official misconduct as defined in §33104 of this Chapter whether or not disciplinary action resulted.

5 §33202. Jurisdiction and term. A person commissioned as a notary by the Attorney General may perform notarial acts in Guam for a term of four 6 (4) years, unless the notary's commission is revoked under §33510 or the 7 notary has resigned under §33552 of this Chapter. 8

9 §33203. Bond. No notarial commission may be issued unless the notary has produced, as part of the application, evidence that, upon commissioning, 10 a bond is in force in the sum of One Thousand Dollars (\$1,000). The bond 11 must be executed by a licensed surety for the whole term of the notary's 12 commission, terminating on its expiration date, with payment of the bond's 13 principal to any person conditioned upon the notary's misconduct as defined 14 15 in §33104 of this Chapter.

16 §33204. Recommissioning. An applicant for recommissioning as a notary shall submit a new application and comply anew with the provisions 17 18 of this Article 2.

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Part 2 - Application

20 §33210. Application. Every application for a notarial commission must be made on forms provided by the Attorney General and include, at least: 21

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(1) A statement of the applicant's personal qualifications;

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- (2) When required, an examination written by the applicant;
- (3) A notarized declaration by the applicant;
- (4) An application fee; and
- 26 (5) Evidence of the bond required by §33203 of this Chapter.

- §33211. Statement of Personal Qualifications. The application must
 state, at least:
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(1) The applicant's age;

- 4 (2) The applicant's residence address or, if not a resident, the 5 applicant's business address in Guam;
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(3) That the applicant can read and write English;

7 (4) All criminal convictions of the applicant, including any
8 pleas of guilty and nolo contendere; and

9 (5) All issuances, denials, revocations, suspensions, restrictions
 10 and resignations of a notarial commission or other professional
 11 license involving the applicant in Guam or in any state.

12 §33212. Examination. (a) Every first-time applicant shall be required 13 to pass a written examination that tests the applicant's knowledge of notarial 14 laws and procedures and is based on materials distributed by the Attorney 15 General with the application forms.

(b) The Attorney General shall give public notice of the examination
 requirement by publication in a newspaper of general circulation at least
 ninety (90) days in advance of the time at which examinations will first be
 given.

(c) Persons authorized to administer oaths by any other law of Guam,
 and attorneys licensed to practice in Guam, shall not be required to take an
 examination.

§33213. Notarized declaration. Every applicant for a notarial
 commission shall sign the following declaration in the presence of a notary:
 "Declaration of Applicant

I,______ (name of applicant), solemnly swear (or

1 affirm) under penalty of perjury that the personal information in this 2 application is true, complete, and correct; that I have read carefully 3 the materials describing the duties of a notary in Guam; and that I will perform, to the best of my ability all notarial acts in accordance 4 5 with law. 6 (signature of applicant)" 7 (Notarial certificate as specified in §33452 of this Chapter.) §33214. Fees. Every applicant for a notarial commission shall pay to 8 the Treasurer of Guam a nonrefundable fee of Twenty-Five Dollars (\$25). 9 10 §33215. Confidentiality. Disciplinary information in an applicant's or notary's Statement of Personal Qualifications under paragraphs (4) and (5) of 11 §33211 of this Chapter must be used by the Attorney General and designated 12 13 territorial employees for the sole purpose of performing official duties under 14 this Chapter and may not be disclosed to any person other than: 15 (1) The applicant; 16 (2) The applicant's authorized representative or surety; 17 (3) A representative of federal, territorial, state, municipal or 18 city government acting in an official capacity; or 19 (4) A person specified by court order. 20 Part 3 - Government employees 21 §33220. Government employees. (a) The Attorney General may commission any number of government employees to act as notaries, but 22 23 notaries so empowered may perform notarial acts only in the service of their respective government agencies. Such service includes the notarization, for 24 members of the public, of any forms or statements which the government 25 agency which employs the notary requires to be notarized before submission 26

1 to such agency.

(b) Notaries empowered under this section may perform notarial acts
in any part of Guam for a term of four (4) years, and shall seek
recommissioning by the Attorney General for each subsequent term.

(c) An applicant for a notarial commission under this section must meet
the requirements in Part 2, Article 2 of this Chapter, except the application
shall include a written declaration signed by the applicant's department or
agency head stating that the commissioning is in the public interest. The fee
imposed in §33214 of this Chapter is waived for employees of the government
of Guam.

(d) The premium on the bond and costs of all notarial supplies for a
notary empowered under this section must be paid from funds of the notary's
government agency.

(e) No fees may be charged for notarial services performed by a notary
 empowered under this section.

(f) Upon leaving the employment of their government agencies,
 notaries empowered under this section shall resign their commissions
 immediately and dispose of their journals and seals under §§33553 and 33554
 of this Chapter.

(g) A notary empowered under this section shall comply with all
 provisions of this Chapter, unless otherwise specified in this section.

<u> </u>	Article 3
23	Powers and Limitations
24	Part 1 - Powers
25	§33301. Powers. A notary is empowered to perform the following
26	notarial acts:

1 (1) Acknowledgments; 2 (2) Oaths and affirmations; 3 (3) Jurats; and 4 (4) Copy certifications. 5 §33302. Disqualifications. A notary is disqualified from performing a notarial acts if the notary: 6 7 (1) Is a signer of or named in the document that is to be 8 notarized; 9 (2) Will receive directly from a transaction connected with the 10 notarial act any commission, fee (except for attorneys), advantage, 11 right, title, interest, cash, property, or other consideration exceeding 12 in value the fees specified in §33310 of this Chapter; or 13 (3) Is related to the person whose signature is to be notarized 14 as a spouse, sibling, or lineal ascendant or descendant. 15 §33303. Impartiality. (a) A notary may not influence a person to enter into or not to enter into a lawful transaction involving a notarial act by the 16 17 notary. 18 (b) A notary shall perform notarial acts in lawful transactions for any requesting person who tenders the appropriate fee specified in §33310 of this 19 20 Chapter. §33304. False certificate. A notary may not execute a certificate 21 containing a statement known by the notary to be false or perform any official 22 action with intent to deceive or defraud. 23 24 §33305. Testimonials. A notary may not endorse or promote any product, service, contest, or other offering if the notary's title or seal is used 25 26

26 in the endorsement or promotional statement.

§33306. Unauthorized practice of law. (a) A non-attorney notary may 1 complete but may not select notarial certificates, and may not assist another 2 person in drafting, completing, selecting, or understanding a document or 3 transaction requiring a notarial act. 4

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(b) This section does not preclude a notary who is duly qualified in a particular profession from giving advice relating to matters in that 6 7 professional field.

(c) A notary shall not make representations to have powers, 8 qualifications, rights or privileges that the office of notary does not have, 9 including the providing of legal advice unless the notary is also a licensed 10 11 attorney.

12 (d) A non-attorney notary who advertises notarial services in any language shall include in the advertisement, notice, or sign the following 13 14 statement, prominently displayed in the same language:

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"I am not an attorney and have no authority to give advice on any legal matters".

Part 2 - Fees

18 §33310. Fees. (a) Subject to the provisions contained in this section, every Notary Public may demand and receive the following fees: 19

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(1) For taking acknowledgement or proof of a deed or other instrument, to include the seal and writing of the certificate, for the first two (2) signatures, Six Dollars (\$6) each; and for each additional signature, Five Dollars (\$5);

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(2) For administering an oath or affirmation, Six Dollars (\$6);

(3) For every certificate of a certified true copy, and the seal, 26 Six Dollars (\$6).

Provided, however, that in no event may a Notary Public who is an employee of the government of Guam demand or receive any fee or compensation of any kind for performing any duty of a Notary Public during normal hours of work in his or her employment by the government.

5 (b) A notary may charge a travel fee when traveling to perform a 6 notarial act if:

(1) The notary explains to the person requesting the notarial
act that the travel fee is separate from the notarial fee in subsection
(a) and is neither specified nor mandated in law; and

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(2) The notary and the person requesting the notarial act agree upon the travel fee in advance.

(c) The Attorney General shall annually review the fees set out in paragraph (a) of this section, and, after such review, if the Attorney General determines that such fees should be altered, the Attorney General may do so in the manner and under the procedures set out in the Administrative Adjudication Law.

§33311. Notice of fees. Notaries shall display an English language
 schedule of fees for notarial acts, as specified in §33310(a) of this Chapter. No
 part of any displayed notarial fee schedule may be printed in smaller than 10 point type.

Article 4
 Journal and Seal
 Part 1 - Journal
 §33401. Journal. A notary shall keep maintain maintain

§33401. Journal. A notary shall keep, maintain, protect as a public
record, and provide for lawful inspection a chronological, permanently
bound, official journal of notarial acts, containing numbered pages.

	1 633402 Entries in inventor a
	source entries in journal. (a) For every notarial act the notarial
	2 record in the journal at the time of notarization at least the following:
	(1) The date and time of day of the notarial act:
	4 (2) The type of notarial act;
	(3) A description of the document or proceeding;
e	(4) The signature and printed name and address of each
7	person for whom a notarial act is performed;
8	(5) The evidence of identity of each person for whom a
9	notarial act is performed, in the form of a description of the
10	identification document, its issuing agency, its serial or identification
11	number, and the date of its issuance if expired;
12	(6) The fee, if any, charged for the notarial act; and
13	(7) The address where the notarization was performed if not
14	the notary's business address.
15	(b) A notary shall retain as an official record a duplicate photocopy of
16	each certified copy.
17	(c) If refusing to perform or complete a notarial act, the notary shall
18	record in the journal the reasons other than lack of proof of identification.
19	§33403. Signature in journal. At the time of notarization, the notary's
20	journal must be signed, as applicable, by:
21	(1) The person for whom a notarial act is performed; and
22	(2) The two (2) witnesses to a signal act is performed; and
23	(2) The two (2) witnesses to a signature by mark of a document that is notarized.
24	
25	§33404. Inspection, copying and disposal of journal. (a) A journal of notarial acts is an official public mean difference of the second states of the second
26	notarial acts is an official public record that may be inspected in the notary's presence by an individual where id a till it.
	presence by an individual whose identity is personally known to the notary or

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proven on the basis of satisfactory evidence, who specifies the notarial act 1 2 sought, and who signs the notary's journal.

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(b) Upon request in compliance with subsection (a), the notary shall provide a photocopy of an entry in the journal at a cost of not more then Five 4 Dollars (\$5) per photocopy. If a certified photocopy is requested, the cost is as 5 specified in §33210 of this Chapter. 6

7 (c) A notary shall safeguard the journal and other notarial records as valuable public documents and never destroy them, except at the direction of 8 9 the Attorney General.

10 (d) The journal must be kept in the exclusive custody of the notary, and may not be used by any other notary or surrendered to an employer upon 11 12 termination of employment.

(e) During the first week of January of each year or upon resignation, 13 revocation, or expiration of a notarial commission, or death of the notary, 14 whichever comes first, the notarial journal must be delivered by personal 15 service, certified mail or other means providing a receipt to the Attorney 16 17 General's office.

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Part 2 - Signature and Seal

§33410. Official signature. In completing a notarial act, a notary shall 19 sign on the notarial certificate exactly and only the name indicated on the 20 21 notary's commission.

22 §33411. Official seal. (a) A notary shall keep an official notarial seal that is the exclusive property of the notary and that may not be used by any 23 other person or surrendered to an employer upon termination of 24 25 employment.

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(b) Upon resignation, revocation, or expiration of a notarial

1 commission, or death of the notary, any seal having the expiration date of the 2 commission on it must be destroyed in accordance with Article 7 of this 3 Chapter. A new seal must be obtained, under §33413 of this Chapter, for any 4 new commission. The notary need not destroy the embossing seal, if any, nor 5 obtain a new embossing seal, if there is not time lapse between the expiration 6 of one commission and the granting of another commission.

§33412. Seal impression. (a) Near the notary's official signature on a
notarial certificate, the notary shall affix in black ink a sharp, legible, and
photographically reproducible impression of the notarial seal that must
include the following elements:

(1) The notary's name exactly as is indicated on the
 commission;

(2) The words "Notary Public, in and for the Territory of
 Guam, U.S.A." and "My commission expires (commission expiration
 date)";

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(3) The address of the notary's business or residence; and

(4) A rectangular border surrounding the required words.

(b) Illegible information within a seal impression may be typed or
 printed legibly by the notary adjacent to but not within the impression.

(c) An embossed seal impression that is not photographically
reproducible shall be used in addition to but not in lieu of the seal described in
subsection (a).

§33413. Obtaining a seal. (a) A vendor may not provide a notary seal, either inking or embossing, to a person claiming to be a notary, unless the person presents the following documents, which the vendor must retain for a period of three (3) years: A photocopy of the person's notarial commission,

attached to a notarized declaration substantially as follows: 1 2 "Application for Notary Seal I, ______ (name of person requesting the seal), declare 3 that I am a Notary Public duly commissioned by the Territory of 4 Guam, with a commission starting date of ______ 5 a commission expiration date of ______ and 6 а commission number of ______. As evidence, I attach to this 7 8 paper a photocopy of my commission. 9 _____ (signature of person requesting the seal)" (b) A notary applying for a seal as a result of a name change shall 10 present a copy of the Confirmation of Notary's Name Change from the 11 Attorney General in place of the Application for a Notary Seal. 12 (c) A vendor who fails to keep a document specified in this section is 13 14 guilty of a petty misdemeanor. 15 Article 5 16 Certificates §33450. General acknowledgment. A notary shall use a certificate in 17 substantially the following form in notarizing the signature or mark of 18 persons acknowledging for themselves or as partners, corporate officers, 19 attorneys in fact, or in other representative capacities, or as otherwise 20 authorized by statute: 21 22 "Territory of Guam. 23 On this _____ day of _____, before me, the 24 undersigned notary, personally appeared, ______, the person(s) whose name(s) (is) (are) signed on the preceding or 25 attached document, and acknowledged to me that (he) (she) (they) 26

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1	signed it voluntarily for its stated purpose(.)
2	(as partner for, a partnership.)
3	(as for, a corporation.)
4	(as attorney in fact for, the principal.)
5	(as for, (a) (the))
6	(by mark before and, subscribing witnesses.)
7	
8	(official signature and seal of notary)"
9	§33452. Jurat. A notary shall use a jurat certificate in substantially the
10	Tonowing form in notarizing a signature on an affidavit, deposition or other
11	sworn or affirmed written declaration:
12	"Territory of Guam.
13	Subscribed and sworn to before me this day of
14	duy of
15	
16 17	(official signature and seal of notary)"
	§33453. Certified copy. A notary shall use a certificate in substantially
18 19	the following form in notarizing a certified copy:
20	"Territory of Guam.
20	On this day of I certify that the
22	preceding or attached document, and the duplicate retained by me
23	as a notarial record, are true, exact, (complete) (stated portion of
24	[named document]), and unaltered photocopies
25	made by me of (description of the document),
26	(presented to me by the document's custodian,)
	(held in my custody as a notarial record,) and that, to the best of

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1 2	my knowledge, are neither public records nor publicly recordable documents, certified copies of which are a statistical to the second state of the
3	documents, certified copies of which are available from an official source other than a notary.
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5	(official signature and seal of notary)"
6	Article 6
7	Liability and Remedies
8	Part 1 - Liability
9	§33501. Liability of notary, surety and employer. (a) A notary is liable
10	to any person for all damages proximately caused that person by the notamic
11	official misconduct in performing a notarization.
12	(b) A surety for a notary's bond is liable to any person for demonstration
13	proximately caused that person by the notary's official misconduct in
14	performing a notarization, but this liability may not exceed the penalty of the
15	bond or of any remaining bond funds that have not been expended on other
16	claimants. Regardless of the number of claimants, a surety's total liability
17	may not exceed the penalty of the bond.
18	(c) An employer of a notary is lights to

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(c) An employer of a notary is liable to any person for all damages proximately caused that person by the notary's official misconduct in performing a notarization related to the employer's business, only if the employer directed, encouraged, consented to, or approved the notary's misconduct, either in the particular transaction, or as implied by previous actions in at least one (1) similar transaction.

(d) An employer of a notary is liable for all damages recovered from the
notary as a result of official misconduct that was coerced by threat of the
employer, if the threat, such as of demotion or dismissal, was made in

reference to the particular notarization, or implied by the employer's 1 previous action in at least one (1) similar transaction. In addition, the 2 employer is liable to the notary for damages caused the notary by demotion, 3 dismissal, or other action resulting from the notary's refusal to commit 4 official misconduct. 5

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(e) If the employer is the government of Guam, damages may be recovered only through the procedures of the Government Claims Act.

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§33502. Proximate cause. Recovery of damages against a notary,

surety, or employer does not require that the notary's misconduct be the sole 9 proximate cause of the damages. 10

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Part 2 - Revocation

§33510. Revocation. (a) The Attorney General may revoke a notarial commission on any ground for which an application for a notarial 13 commission may be denied under §33201 of this Chapter. 14

15 (b) Resignation or expiration of a notarial commission does not terminate or preclude an investigation into a notary's conduct by the Attorney 16 General, who may pursue the investigation to a conclusion, whereupon it 17 must be made a matter of public record whether or not the findings would 18 have been grounds for revocation. 19

§33511. Other remedies. (a) The Attorney General may deliver a 20 written Official Warning to Cease Misconduct to any notary whose actions 21 are judged to be official misconduct under §33104 of this Chapter. 22

23 (b) The Attorney General may seek a court injunction to prevent a person from violating any provision of this Chapter. 24

25 §33512. Criminal prosecution. A notary who knowingly and repeatedly performs or fails to perform any act prohibited or mandated 26

respectively by this Chapter is guilty of a third degree felony. A notary who
knowingly performs a notarization for a person who does not appear before
the notary or at the notary's office is guilty of a third degree felony.

§33513. Additional remedies not prevented. The remedies of this
 Chapter supplement other remedies and penalties provided by law.

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Part 3 - Willful Crimes

§33520. Impersonation. Any person not a notary who knowingly acts
as or otherwise impersonates a notary is guilty of a third degree felony.

§33521. Wrongful possession. Any person who knowingly obtains,
conceals, defaces, or destroys the seal, journal, or official records of a notary
is guilty of a third degree felony.

§33522. Improper influence. Any person who knowingly solicits,
coerces, or in any way influences a notary to commit official misconduct is
guilty of a third degree felony.

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Article 7

16 §33550. Change of address. Within thirty (30) days after the change of 17 a notary's business or residence address, the notary shall deliver to the 18 Attorney General, by certified mail or other means providing a receipt, a 19 signed notice of the change, giving both old and new addresses.

§33551. Change of name. (a) A notary with a change of name shall deliver to the Attorney General a signed notice of the change, giving both old and new names and the effective date of the new name.

(b) Starting on the effective date of the change, a notary with a new
name officially shall sign that name on all notarial certificates, but only after
the following steps have been completed:

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(1) The notice described in subsection (a) of this section has

been delivered:

2 (2) A Confirmation of Notary's Name Change has been 3 received from the Attorney General;

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(3) A new seal bearing the new name exactly as in the Confirmation has been obtained; and

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(4) The surety for the notary's bond has been informed in writing.

8 §33552. Lost journal or seal. Within ten (10) days after the loss or theft of any official journal or seal, the notary shall deliver to the Attorney 9 General, by certified mail or other means providing a receipt, a signed notice 10 of the loss or theft, and inform the appropriate law enforcement agency in the 11 12 case of theft.

13 §33553. Resignation. (a) A notary who resigns a notarial commission shall deliver to the Attorney General, by certified mail or other means 14 15 providing a receipt, a notice indicating the effective date of resignation.

16 §33554. Disposition of seal and journal. (a) When a notarial commission is resigned, revoked, or expires, the notary shall: 17

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(1) As soon as reasonably practical, destroy the official seal; 19 and

20 (2) Within thirty (30) days of the after the effective date of 21 resignation, revocation or expiration, deliver to the Attorney 22 General, by certified mail or other means providing a receipt, the 23 notarial journal and records.

24 §33555. Death. If a notary dies during the term of commission, the notary's heirs or legal representative, as soon as reasonably practical after 25 26 death, shall deliver to the Attorney General by certified mail or other means

1	providing a receipt (i) a notice of the date of death, and (ii) the notarial
2	journal and records.
3	Article 8
4	-
5	§33601. Evidence of authenticity of notarial act. (a) The authenticity
6	of the official notarial seal and signature of a notary of Guam may be
7	evidenced by:
8	(1) A certificate of authority from the Attorney General,
9	authenticated as necessary; or
10	(2) An apostille from the Attorney General in the form
11	prescribed by the Hague Convention Abolishing the Requirement of
12	Legalization for Foreign Public Documents of October 5, 1961.
13	(3) An apostille as specified by the Hague Convention must be
14	attached to any document requiring authentication that is sent to a
15	nation that has signed and ratified the Convention.
16	§33601. Certificate of Authority. A certificate of authority evidencia
17	the authenticity of the official notarial seal and signature of a notary of
18	Guair must be in substantially the following form:
19	"Certificate of Authority for a Notarial Act
20	I, (name, Attorney General of the Territory of Guam
21	U.S.A.) certify that (name of notary), the person named in the seal
22	and signature on the attached document(,) is a notary public for the
23	Territory of Guam, U.S.A., and was authorized to act as such at the
24	time of the document's notarization.
25	To verify this Certificate of Authority for a Notarial Act, I have
26	affixed below my signature and seal of office this day

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]	of"
2	§33603. Apostille. An apostille prescribed by the Hague Convention, as
З	cited in 28 U.S.C.A. in the annotations to Rule 44 of the Federal Rules of Civil
4	Procedure, must be in the form of a square with sides at least nine (9)
5	centimeters long and contain exactly the following wording:
6	"APOSTILLE
7	_
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9	
10	2. has been
11	signed by
12	3. acting in
· 13	the capacity of
14	4. bears the seal/stamp of
15	CERTIFIED
16	at 6. the
17	7. by
18	8. No
19	9. Seal/Stamp 10. Signature
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21	Article 9
22	Officers in the Armed Forces
23	Authorized to Act as Notaries
24	§33701. Officers may perform notarial acts. Any commissioned officer
25	of the Armed Forces of the United States, while on active duty, who is
26	authorized to administer oaths by the Uniform Code of Military Justice, may

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administer oaths and affirmations, take depositions, affidavits and
acknowledgements of deeds, grants, transfers and other instruments of
writing, and powers of attorney, of any person who for the time being is on
active duty with the Armed Forces of the United States, in the same manner
as a Notary Public.

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Any oath or affirmation administered, or deposition or affidavit taken,
or acknowledgement certified by such officer hereunder, if otherwise in
accordance with law, shall be effectual for all purposes as if administered,
taken or certified by a Notary Public appointed and commissioned under this
Chapter."

Section 2. Effective date. This Act shall go into effect ninety (90) days after its approval, except that the Attorney General shall forthwith prepare the necessary examinations and cause to be promulgated the necessary rules and regulations governing the procedures set out in this Act.



Senator Pilar Cruz Lujan Twenty-First Guam Legislature

Legislative Secretary

Committee on Judiciary and Criminal Justice Chairman

March 10, 1992

The Honorable Joe T. San Agustin Speaker, Twenty-First Guam Legislature 155 Hesler St. Agana, Guam 96910

VIA: Chairperson, Committee on Rules

Dear Mr. Speaker:

The Committee on Judiciary and Criminal Justice, to which was referred Bill No. 269 wishes to report its findings and recommendations for passage of Substitute Bill 269.

The Committee voting record is as follows:

_12	TO PASS
0	NOT TO PASS
0	ABSTAIN
0	TO PLACE IN INACTIVE FILE

A copy of the Committee report and all pertinent documents are attached for your information.

Sincerely,

PILAR C. LUJAN Chairman

Notary Bill

TWENTY-FIRST GUAM LEGISLATURE 1991 (FIRST) Regular Session

Substitute Bill No. 269 As substituted by the Committee on Judiciary & Criminal Justice

Introduced by:

P. C. Lujan J. P. Aguon

AN ACT TO REPEAL AND REENACT 5 GCA CHAPTER 33, ARTICLES 1 THROUGH 8 WITH RESPECT TO REGULATING NOTARIES PUBLIC.

BE IT ENACTED BY THE PEOPLE OF THE TERRITORY OF GUAM:

Section 1. Title 5 GCA, Chapter 33 is repealed and reenacted to read:

"Chapter 33 NOTARIES PUBLIC Article 1 Implementation \$33101. Short Title. This Article may be known as the Model Notary Law. 10 Purposes. This Chapter shall be construed and applied to §33102. 11 advance its underlying purposes, which are: 12 To promote, serve, and protect the public interest; 1. 13 2. to clarify and modernize the law governing notaries; 14 and 15 3. to make uniform notarial laws among the jurisdictions 16 enacting it.

17 §33103. Prospective Effect. The existing bond, seal, length of 18 commission term, and liability of current notaries commissioned before 19 this Chapter effective date may not be invalidated, modified, or 20 terminated by this Chapter, but those notaries shall comply with this 21 Chapter in performing notarizations and in applying for new 22 commissions.

23 **§**33104. Definitions. (1) Acknowledgment means a notarial act in 24 which a notary certifies that a signer, whose identity is personally 25 known to the notary or proven on the basis of satisfactory evidence,

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has admitted, in the notary's presence, having signed a document voluntarily for its stated purpose.

3 (2) **Commission** means to empower to perform notarial acts and the 4 written authority to perform those acts.

5 (3) **Copy certification** means a notarial act in which a notary 6 certifies having made a photocopy of a document that is neither a 7 public record nor publicly recordable.

8 (4) Jurat means a notarial act in which a notary certifies that a 9 signer, whose identity is personally known to the notary or proven on 10 the basis of satisfactory evidence, has made, in the notary's 11 presence, a voluntary signature and taken an oath or affirmation 12 vouching for the truthfulness of the signed document.

13 (5) Notarial act and notarization mean any act that a notary is 14 empowered to perform under §33301.

(6) Notarial certificate and certificate mean that part of or
 attachment to a notarized document for completion by the notary and
 bearing the notary's signature and seal.

(7) Notary Public and notary mean any person commissioned to
 perform notarial acts under this Chapter.

(8) Oath and affirmation mean a notarial act or part thereof in
 which a notary certifies that a person made a vow in the presence of
 the notary on penalty of perjury, with reference made to a Supreme
 Being for an oath.

(9) Official misconduct means: (i) a notary's performance of or
failure to perform any act prohibited or mandated respectively by this
Chapter or by any other law in connection with a notarization; or (ii)
a notary's performance of a notarial act in a manner found by the
Attorney General to be negligent or against the public interest.

(10) Personal knowledge of identity means familiarity with an
 individual resulting from interactions with that individual over a
 period of time sufficient to eliminate every reasonable doubt that the
 individual has the identity claimed.

(11) Satisfactory evidence of identity means identification of an
 individual based on: (i) a current passport or an official
 identification issued by a federal or state government with the

1	individual's photograph and signature
2	(12) State includes any state of the United States, and any United
3	States territory, possession or commonwealth and the District of
4	Columbia.
5	\$33105. Effective Date. This Act shall take effect ninety days
6	following its enactment into law. Any notary public who is
7	commissioned prior to the effective date of this, shall continue to
8	exercise the duties and responsibilities until him or her commission
9	expires. Subsequent application for another commission shall be in
10	compliance with the provisions of this Act.
11	Article 2
12	Commissioning
13	Part 1
14	§33201. Commissioning. (a) Except as provided in subsection (c),
15	the Attorney General shall commission as a notary any qualified person
16	who submits an application in accordance with this Chapter.
17	(b) A person qualified for a notarial commission must:
18	(1) be at least 18 years of age;
19	(2) reside on Guam;
20	(3) read and write English;
21	<pre>(4) be a U.S. citizen;</pre>
22	(5) after one is required, pass a written examination under
23	\$33203; and
24	(6) submit an application containing no significant
25	misstatement or omission of fact.
26	(c) The Attorney General may deny an application based on:
27	(1) the applicant's conviction of a crime involving dishonesty
28	or moral turpitude;
29	(2) revocation, suspension, or restriction of a notarial
30	commission or professional license issued to the
31	applicant by this territory or any other state; or
32	(3) the applicant's official misconduct as defined in §33104,
33	whether or not disciplinary action resulted.
34	§33202. Jurisdiction and Term. A person commissioned as a notary
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1 by the Attorney General may perform notarial acts in Guam for a term 2 of four years, unless the commission is revoked under §33510 or 3 resigned under §33552.

4 Bond. No notarial commission may be issued unless the §33203. notary has produced, as part of the application, evidence that, upon 5 commissioning, a bond is in force in the sum of One Thousand Dollars 6 (\$1.000.00). The bond must be executed by a licenses surety, for the 7 term of the notary's commission, terminating on its expiration 8 whole date, with payment of bond funds to any person conditioned upon the 9 10 notary's misconduct as defined in §33104.

11 \$33204. Recommissioning. An applicant for recommissioning as a 12 notary shall submit a new application and comply anew with the 13 provisions of Article 2.

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Part 2 - Application

15 \$33210. Application. Every application for a notarial commission 16 must be made on forms provided by the Attorney General and include, at 17 least:

18 (1) a statement of the applicant's personal qualifications;

(2) when required, an examination written by the applicant;

(3) a notarized declaration by the applicant;

(4) an application fee; and

(5) evidence of the bond required by §33203.

23 **\$33211. Statement of Personal Qualifications.** The application 24 must state, at least:

the applicant's age;

- (2) the applicant's residence address or, if not a resident,
 business address in Guam, and, if not a citizen of the
 United States, the applicant's alien status.
- 29 (3) that the applicant can read and write English;
- 30 (4) all criminal convictions of the applicant, including any
 31 pleas of guilt and nolo contendere; and
- 32 (5) all issuances, denials, revocations, suspensions,
- restrictions and resignations of a notarial commission or
 other professional license involving the applicant in Guam
 or in any state.

1 **\$33212. Examination.** (a) Every applicant may be required to pass 2 a written examination that tests the applicant's knowledge of notarial 3 laws and procedures and is based on materials distributed by the 4 Attorney General with the application forms.

5 (b) If the Attorney General determines that an examination is 6 necessary, and has the materials available, and before requiring the 7 examination, the Attorney General shall give public notice of the 8 examination requirement by publication in a newspaper of general 9 circulation at least ninety days in advance of the time after which 10 examinations will be required.

(c) If examinations are required persons authorized to administer
 oaths by any other law of Guam, and attorneys licensed to practice in
 Guam shall not be required to take an examination.

14 \$33213. Notarized Declaration. Every applicant for a notarial 15 commission shall sign the following declaration in the presence of a 16 notary:

"Declaration of Applicant

I, ______ (name of applicant), solemnly swear (or affirm) under penalty of perjury that the personal information in this application is true, complete, and correct; that I have read carefully the materials describing the duties of a notary in Guam; and that I will perform, to the best of my ability all notarial acts in accordance with the law.

24 _____(signature of applicant)

25 (Notarial certificate as specified in §33452.)

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S33214. Fees. Every applicant for a notarial commission shall pay to the Government of Guam a nonrefundable fee of Twenty-five Dollars (\$25.00).

§33215. Confidentiality. Disciplinary 29 information in an applicant's or notary's Statement of Personal Qualifications under 30 paragraphs (4) and (5) of §33211 must be used by the Attorney General 31 designated territorial employees for the sole purpose of 32 and performing official duties under this Chapter and may not be disclosed 33 to any person other than: 34

1 (1) the applicant;

(4)

- (2) the applicant's authorized representative or surety;
- (3) a representative of federal, territorial, state, county or city government acting in an official capacity; or

a person specified by court order.

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Part 3 - Government Employees

7 Government Employees. (a) \$33220. The Attorney General mav 8 commission any number of government employees to act as notaries, but 9 notaries so empowered may perform notarial acts only in the service of 10 their respective government agencies. Such service includes the 11 for members of the public, of any forms or statements notarization, 12 which the government agency which employs the notary requires to be 13 notarized before submission to such agency.

(b) Notaries empowered under this section may perform notarial
 acts in any part of Guam for a term of four years, and shall seek
 recommissioning by the Attorney General for each subsequent term.

17 applicant for a notarial commission under this section (C) An 18 must meet the requirements in Article 2, Part 2, except the 19 application shall include a written declaration signed by the 20 applicant's department or agency head stating that the commissioning 21 is in the public interest; and the fee in §33214 is waived for 22 employees of the government of Guam.

(d) The premium on the bond and costs of all notarial supplies
 for a notary empowered under this section must be paid from funds of
 the notary's government agency.

(e) No fees may be charged for notarial services performed by a
 notary empowered under this section.

(f) Upon leaving the employment of their government agencies,
 notaries empowered under this section shall resign their commissions
 immediately under §33553 and dispose of their journals and seals under
 §33554.

(g) A notary empowered under this section shall comply with all
 provisions of this Chapter, unless otherwise specified in this
 section.

Notary Bill

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2	Article 3 Powers and Limitati
3	Powers and Limitations Part 1 - Powers
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5	\$33301. Powers. A notary is empowered to perform the following notarial acts:
6	 acknowledgments;
7	(2) oaths and affirmations;
8	(3) jurats; and
9	(4) copy certifications.
10	\$33302. Disqualifications A poterm is at the
11	performing a notarial act if the notary:
12	(1) is a signer of or named in the document that is to be
13	notarized;
14	(2) will receive directly from a transaction connected with the
15	notarial act any commission, fee (except for Attorneys),
16	advantage, right, title, interest, cash, property, or other
17	consideration exceeding in value the fees specified in
18	\$33310; or
19	(3) is related to the person whose signature is to be notarized
20	as a spouse, sibling, or lineal ascendant or descendant.
21	§33303. Impartiality. (a) A notary may not influence a person to
22	enter into or not to enter into a lawful transaction involving a
23	notarial act by the notary.
24	(b) A notary shall perform notarial acts in lawful transactions
25	for any requesting person who tenders the appropriate fee specified in
26	\$333IU.
27	\$33304. False Certificate. A notary may not execute a certificate
28	containing a statement known by the notary to be false or perform any
29	official action with intent to deceive or defraud.
30 21	\$33306. Testimonials. A notary may not endorse or promote any
31	product, service, contest, or other offering if the notary's title or
32 33	seal is used in the endorsement or promotional statement.
34	\$33306. Unauthorized Practice of Law. (a) A non-attorney notary
35	may complete but may not select notarial certificates, and may not
३२ २६	assist another person in drafting, completing, selecting, or

that professional field. 4 A notary may not make representations to have powers, 5 (c) qualifications, rights or privileges that the office of notary does 6 not have, including the power to counsel on immigration matters. 7 (d) A non-attorney notary who advertises notarial services in a 8 language other than English shall include in the advertisement, 9 notice, or sign the following in the same language: 10 11 The statement prominently displayed: "I am not an attorney (1)and have no authority to give advice on immigration or other legal 12 13 matters", and 14 (2) the fees for notarial acts specified in §33310(a). 15 (e) A notary may not use the term notario publico or any equivalent non-English term in any business card, advertisement, notice or sign. 16 17 Part 2 - Fees 18 **\$**33310. Fees. Subject to the provisions contained in this (a) 19 section, every Notary Public shall be entitled to demand and receive 20 the following fees: 21 For taking acknowledgement or proof of a deed or other (1)22 instrument, to include the seal and writing of the 23 certificate, for the first two signatures, Six Dollars (\$6.00) each; and for each additional signature, Five Dollar 24 25 (\$5.00).26 (2) For administering an oath or affirmation, Six Dollars 27 (\$6.00). 28 (3) For every certificate, of a certified true copy, and the 29 seal, Six Dollars (\$6.00); 30 Provided, however, that in no event may a Notary Public who is an 31 employee of the government demand or receive any fee or compensation 32 any kind for performing any duty of a Notary Public during normal of 33 hours of work in his employment by the government.

understanding a document or transaction requiring a notarial act.

(b) This section does not preclude a notary who is duly qualified

in a particular profession from giving advice relating to matters in

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A notary may charge a travel fee when traveling to perform a 1 (b) notarial act if: 2 (1) the notary explains to the person requesting the notarial 3 act that the travel fee is separate from the notarial fee in 4 subsection (a) and is neither specified nor mandated in law; 5 6 and the notary and the person requesting the notarial act agree 7 (2) 8 upon the travel fee in advance. §33311. Notice of Fees. Notaries shall display an English language 9 schedule of fees for notarial acts, as specified in §33310(a). No part 10 of any displayed notarial fee schedule may be printed in smaller than 11 10-point type. 12 13 Article 4 14 Journal and Seal 15 Part 1 - Journal \$33401. Journal. A notary shall keep, maintain, protect as a public 16 record, and provide for lawful inspection a chronological, permanently 17 bound, official journal of notarial acts, containing numbered pages. 18 19 **§**33402. Entries in Journal. (a) For every notarial act, the notary shall record in the journal at the time of notarization at 20 21 least the following: 22 the date and time of day of the notarial act; (1)23 the type of notarial act; (2) 24 a description of the document or proceeding; (3) 25 the signature and printed name and address of each person (4)26 for whom a notarial act is performed; 27 the evidence of identity of each person for whom a notarial (5) 28 act is performed, in the form of either: (i) a statement 29 that the person is personally known to the notary, (ii) a 30 description of the identification document, its issuing 31 agency, its serial or identification number, and the date of 32 its issuance or expiration.

the fee, if any, charged for the notarial act; and (6) 2 (7) the address where the notarization was performed if not the 3 notary's business address. 4 A notary shall retain as an official record a duplicate (b) 5 photocopy of each certified copy. 6 (c) In refusing to perform or complete a notarial act for reasons 7 other than lack of proof of identification. 8 \$33403. Signature in Journal. At the time of notarization, the 9 notary's journal must be signed, as applicable, by: 10

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- (1) the person for whom a notarial act is performed; and
- (2) the two witnesses to a signature by mark of a document that is notarized.

13 \$33404. Inspection, Copying and Disposal of Journal. (a) A 14 journal of notarial acts is an official public record that may be 15 inspected in the notary's presence by any individual whose identity 16 personally known to the notary or proven on the basis of satisfactory 17 evidence, who specifies the notarial act sought, and who signs the 18 notary's journal.

19 (b) Upon request in compliance with subsection (a), the notary 20 shall provide a photocopy of an entry in the journal at a cost of not 21 more than Five Dollars (\$5.00) per photocopy/ If a certified photocopy 22 is requested, the cost is as specified in §33210.

23 (c) A notary shall safeguard the journal and all other notarial 24 records as valuable public documents and never destroy them, except at 25 the direction of the Attorney General.

26 (d) The journal must be kept in the exclusive custody of the 27 notary, and may not be used by any other notary or surrendered to an 28 employer upon termination of employment.

29 (e) During the first week of January of each year or upon 30 resignation, revocation, or expiration of a notarial commission, or 31 death of the notary, whichever comes first, the notarial journal and 32 records must be delivered by personal service, certified mail or other means providing a receipt to the Attorney General's Office. 33

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Part 2 - Signature and Seal

\$33410. Official Signature. In completing a notarial act, a

notary shall sign on the notarial certificate exactly and only the name indicated on the notary's commission.

3 **\$33411. Official Seal.** (a) A notary shall keep an official 4 notarial seal that is the exclusive property of the notary and that 5 may not be used by any other person or surrendered to an employer upon 6 termination of employment.

7 Upon resignation, revocation, or expiration of a notarial (b) or death of the notary, any seal having the expiration 8 commission, date of the commission on it must be destroyed in accordance with 9 10 Article 7. A new seal must be obtained , under §33413, for any new The notary need not destroy the embossing seal, if any, 11 commission. nor obtain a new embossing seal, if there is no time lapse between the 12 expiration of one commission and the granting of another commission. 13 14 the person is not authorized to perform notarial acts.

15 **\$33412. Seal Impression.** (a) Near the notary's official signature 16 on a notarial certificate, the notary shall affix in black ink a 17 sharp, legible, and photographically reproducible impression of the 18 notarial seal that must include the following elements:

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(1) the notary's name exactly as is indicated on the commission;

20 21 (2) the words Notary Public, in and for the Territory of Guam,

U.S.A., and My commission expires (commission expiration date);

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(3) the address of the notary's business or residence; and

(4) a rectangular border surrounding the required words.

(b) Illegible information within a seal impression may be typed
 or printed legibly by the notary adjacent to but not within the
 impression.

(c) An embossed seal impression that is not photographically
 reproducible shall be used in addition to but not in lieu of the seal
 described in subsection (a).

Notary Bill

\$33413. Obtaining a Seal. (a) A vendor may not provide a notary seal, either inking or embossing, to a person claiming to be a notary, unless the person presents the following documents, which the vendor must retain for a period of three (3) years: a photocopy of the person's notarial commission, attached to a notarized declaration substantially as follows:

"Application for Notary Seal

I, _____ (name of person requesting seal), declare that I am a notary public duly commissioned by the Territory of Guam, with a commission starting date of ____, a commission expiration date of _____, and a commission number of ____. As evidence, I attach to this paper a photocopy of my commission.

13 _____ (signature of person requesting seal)
14 (notarial certificate specified in \$33450)

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(b) A notary applying for a seal as a result of a name change
 shall present a copy of the Confirmation of Notary's Name Change from
 the Attorney General in place of the Application for a Notary Seal.

(c) A vendor who fails to keep a document specified in this
 section is guilty of a petty misdemeanor.

Article 5

Certificates

S33450. General Acknowledgment. A notary shall use a certificate in substantially the following form in notarizing the signature or mark of a person acknowledging for themselves or as partners, corporate officers, attorneys in fact, or in other representative capacities:

27	Territory of Guam
28	On this day of,, before me, the undersigned
29	notary, personally appeared, the person(s)
30	whose name(s) (is)(are) signed on the preceding or attached
31	document, and acknowledged to me that (he)(she)(they) signed
32	it voluntarily for its stated purpose(.)

Notary Bill

(as partner for _____, a partnership.) 1 (as ______ for _____, a corporation.) 2 (as attorney in fact for _____, the principal.) 3 (as _____ for _____, (a)(the) _____ 4 .) (by mark before ______ and _____, subscribing witnesses.) 5 6 (official signature & seal of notary) 7 \$33452. JURAT. A notary shall use a jurat certificate in 8 substantially the following form in notarizing a signature on an 9 affidavit deposition, or other sworn or affirmed written declaration. 10 Territory of Guam 11 Subscribed and sworn to before me this _____, day of 12 _____ 19 _____, by _____. 13 14 (official signature and Seal of Notary) 15 \$33453. Certified Copy. A notary shall use a certificate in sub-16 stantially the following form in notarizing a certified copy: 17 Territory of Guam 18 On this _____ day of _____, ___, I certify that the 19 preceding or attached document, and the duplicate retained by me as a 20 notarial record, are true, exact, (complete)(stated portion of _____ 21 [named document]), and unaltered photocopies made by me of 22 (description of the document), (presented to me by the document's 23 custodian, _____,) (held in my custody as a notarial 24 record,) and that, to the best of my knowledge, is neither a public 25 record nor a publicly recordable document, certified copies of which 26 are available from an official source other than a notary. 27 28 29 (official signature & seal of notary) 30 Article 6 31 Liability and Remedies 32 Part 1 - Liability \$33501. Liability of Notary, Surety and Employer. (a) A notary is 33 liable to any person for all damages proximately caused that person by 34

the notary's official misconduct in performing a notarization.

(b) A surety for a notary's bond is liable to any person for damages proximately caused that person by the notary's official misconduct in performing a notarization, but this liability may not exceed the penalty of the bond or of any remaining bond funds that have not been expended to other claimants. Regardless of the number of claimants, a surety's total liability may not exceed the penalty of the bond.

9 (c) An employer of a notary is liable to any person for all 10 damages proximately caused that person by the notary's official 11 misconduct in performing a notarization related to the employer's 12 business, only if the employer directed, encouraged, consented to, or 13 approved the notary's misconduct, either in the particular transaction 14 or, implied, by previous actions in at least one similar transaction.

An employer of a notary is liable for all damages recovered 15 (d) from the notary as a result of official misconduct that was coerced by 16 threat of the employer, if the threat, such as of demotion or 17 dismissal, was made in reference to the particular notarization or, 18 implied, by the employer's previous action in at least one similar 19 20 In addition, the employer is liable to the notary for transaction. damages caused the notary by demotion, dismissal, or other action 21 resulting from the notary's refusal to commit official misconduct. 22

(e) If the employer is the government of Guam, damages may be
 recovered only through the procedure of the Government Claims Act
 (5 GCA Chapter 6).

\$33502. Proximate Cause. Recovery of damages against a notary, surety, or employer does not require that the notary's misconduct be the sole proximate cause of the damages.

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Part 2 - Revocation

§33510. Revocation. (a) The Attorney General may revoke a notarial commission on any ground for which an application for a notarial commission may be denied under §33201.

33 (b) Resignation or expiration of a notarial commission does not 34 terminate or preclude an investigation into a notary's conduct by the 35 Attorney General, who may pursue the investigation to a conclusion, 36 whereupon it must be made a matter of public record whether or not the

1 findings would have been grounds for revocation. 2 Other Remedies. (a) The Attorney General may deliver a §33511. written Official Warning to Cease Misconduct to any notary whose 3 4 actions are judged to be official misconduct under \$33105. 5 The Attorney General may seek a court injunction to prevent (b) 6 a person from violating any provision of this Chapter. 7 Criminal Prosecution. A notary who knowingly and repeat-**§**33512. 8 edly performs or fails to perform any act prohibited or mandated 9 respectively by this Chapter is guilty of a misdemeanor. 10 \$33513. Additional Remedies Not Prevented. The remedies of this Chapter supplement other remedies and penalties provided by law. 11 12 Part 3 - Willful Crimes 13 \$33520. Impersonation. Any person not a notary who knowingly acts 14 or otherwise impersonates a notary is guilty of a third degree as 15 felony. 16 **\$**33521. Wrongful Possession. Any person who knowingly obtains, conceals, defaces, or destroys the seal, journal, or official records 17 18 of a notary is guilty of a third degree felony. 19 **\$**33522. Improper Influence. Any person who knowingly solicits, 20 coerces, or in any way influences a notary to commit official miscon-21 duct is guilty of a third degree felony. 22 Article 7 23 Change of Address. Within thirty (30) days after the **\$**33550. 24 change of a notary's business or residence address, the notary shall 25 deliver to the Attorney General, by certified mail or other means providing a receipt, a signed notice of the change, giving both old 26 27 and new addresses. 28 \$33551. Change of Name. (a) A notary with a change of name shall 29 deliver to the Attorney General a signed notice of the change, giving 30 both old and new names and the effective date of the new name. 31 Starting on the effective date, a notary with a new name (b) 32 officially shall sign that name on all notarial certificates, but only 33 after the following steps have been completed: (1) the notice described in subsection (a) has been delivered; 34

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A Confirmation of Notary's Name Change has been received (2)1 from the Attorney General; 2 (3) a new seal bearing the new name exactly as in the 3 confirmation has been obtained; and 4 the surety for the notary's bond has been informed in 5 (4) writing. 6 §33552. Lost Journal or Seal. Within ten (10) days after the loss 7 or theft of any official journal or seal, the notary shall deliver to 8 the Attorney General, by certified mail or other means providing a 9 receipt, a signed notice of the loss or theft, and inform the 10 appropriate law enforcement agency in the case of theft. 11 \$33553. Resignation. (a) A notary who resigns a notarial commis-12 sion shall deliver to the Attorney General, by certified mail or other 13 means providing a receipt, a notice indicating the effective date of 14 resignation. 15 \$33554. Disposition of Seal and Journal. (a) Except as prescribed 16 in subsection (b), when a notarial commission is resigned, revoked, or 17 expires, the notary shall: 18 as soon as reasonably practical, destroy the official seal; 19 (1) 20 and within thirty (30) days of the after the effective date of (2) 21 resignation, revocation or expiration, deliver to the 22 Attorney General, by certified mail or other means providing 23 a receipt, the notarial journal and records. 24 \$33555. Death. If a notary dies during the term of commission, 25 the notary's heirs or personal representative, as soon as reasonably 26 practical after death shall: 27 (1) destroy the official seal; and 28 (2) deliver by certified mail or other means providing a receipt 29 (i) a notice of the date of death to the Attorney General, 30 and (ii) the notarial journal and records to the Attorney 31 32 General.

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1 Article 8 2 Authentication 3 \$33601. Evidence of Authenticity of Notarial Act. (a) The authenticity of the official notarial seal and signature of a notary of Guam 4 5 may be evidenced by: a certificate of authority from the Attorney General, 6 (1) 7 authenticated as necessary; or 8 (2) an apostille from the Attorney General in the form 9 prescribed by the Hague Convention Abolishing the 10 Requirement of Legalization for Foreign Public Documents of 11 October 5, 1961. 12 An apostille as specified by the Hague Convention must be (b) attached to any document requiring authentication that is 13 14 sent to a nation that has signed and ratified the 15 Convention. \$33601. Certificate of Authority. A certificate of authority 16 evidencing the authenticity of the official notarial seal and 17 18 signature of a notary of this Territory must be in substantially the 19 following form: 20 Certificate of Authority for a Notarial Act I, _____ (name, Attorney General of the Territory of Guam, 21 U.S.A.,) certify that (name of notary), the person named in the 22 23 seal and signature on the attached document(,) is a notary public for the Territory of Guam, U.S.A., and was authorized to act as 24 25 such at the time of the document's notarization. 26 To verify this Certificate of Authority for a Notarial Act, I have affixed below my signature and seal of office this _____ day 27 28 of ____, __ 29 \$33603. Apostille. An apostille prescribed by the Hague Convention, as cited in 28 U.S.C.A. in the annotations to Rule 44 of the 30 Federal Rules of Civil Procedure, must be in the form of a square with 31 sides at least nine (9) centimeters long and contain exactly the 32

following wording:

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APOSTILLE

1	(Convention de La Haye du 5 octobre 1961)
2	1. Country: United States of America
3	This public document
4	2. has been
5	signed by
6	3. acting in
7	the capacity of
8	4. bears the seal/stamp of
9	CERTIFIED
10	at 6. the
11	7. by
12	8. No.
13	9. Seal/Stamp 10. Signature
14	
15	
16	Article 9
17	Officers in the Armed Forces
18	Authorized to Act as Notaries
19	§33701. Officers May Perform Notarial Acts. Any commissioned
20	officer of the Armed Forces of the United States, while on active
21	duty, who is authorized to administer oaths by the Uniform Code of
22	Military Justice, may administer oaths and affirmations, take
23	depositions, affidavits and acknowledgements of deeds, grants,
24	transfers and other instruments of writing, and powers of attorney, of
25	any person who for the time being is on active duty with the Armed
26	Forces of the United States, in the same manner as a Notary Public.
27	Any oath or affirmation administered, or deposition or affidavit
28	taken, or acknowledgement certified by such officer hereunder, if
29	otherwise in accordance with law, shall be effectual for all purposes
30	as if administered, taken or certified by a Notary Public appointed
31	and commissioned under this Chapter."

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COMMITTEE ON JUDICIARY & CRIMINAL JUSTICE

Committee Report on Bill 269

An Act to repeal and reenact 5 GCA Chapter 33, Articles 1 through 8 with respect to regulating notaries public.

Preface

The Judiciary and Criminal Justice Committee convened on September 20, 1991, at 10:40 a.m. in the Legislative Public Hearing Room to receive testimony on Bill 269.

Present alongside Committee Chairwoman Pilar C. Lujan was Vice-Chairman Francisco R. Santos and member Anthony C. Blaz.

Overview

The existing law regulating notaries public is over 40 years old and has been deemed as antiquated by the Department of Law. According to Committee records, no changes or modifications to update that law have been made.

Unlike all other jurisdictions, Guam notary public law essentially has no standards in place for those who attest to crucially important documents.

Such standards are necessary to ensure honest, trustworthy people are commissioned to attest to important documents. Moreover, the existing law is also silent on how to deal with those who violate the public trust, as recently evidenced in all alleged misuse of a notary in the "land scam" cases which are now going to trial.

In conjunction with the Department of Law, the Committee Chairwoman has introduced the law to establish such standards based on the Model Notary Act.

Committee Findings

Compiler of Laws Charles Troutman appeared before the Committee in favor of the measure and noted that all applications for notaries public have been handled by the Attorney General in the last decade.

Basically, a background investigation on the character of an applicant is

conducted and then all papers are forwarded to the Governor for commission.

This process is practiced and not mandated by law.

Mr. Troutman noted that the measure details all the steps and conditions for application and then the responsibilities which come with the commission.

Moreover, the measure contains provisions to ensure compliance and enforcement of those responsibilities.

"As a practical purpose, as a practical response to the present situation, this bill, affirmatively puts the commissions of the notaries in the hands of the Attorney General," Mr. Troutman said.

Committee Chairwoman Lujan queried the Compiler of Laws on whether or not the measure contains a sufficient penalty provision and he noted that violators are subject to commission revocation and civil penalty, not to mention the comprehensive criminal penalties for in 9 GCA.

Committee Recommendations

Given the antiquity of the existing notary public law, alongside of the fact that there are no detailed and mandated qualifications, conditions, and provisions for the notary public, the Committee hereby recommends passage of Substitute Bill 269 which reflects technical modifications.